## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Date: October 25, 2000 In re the application of

G. Frees et al. Group Art Unit: 2754

Serial No.: 09/191,577 Examiner: A. Castro

November 13, 1998 Filed:

For: MOUNTING INTERFACE FOR A SPINDLE MOTOR

> INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 CFR 1.97(c))

Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

ssioner of Patents and Trademarks
ngton, D.C. 20231

Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97 and 1988 is herewith submitted (attached to form PTO-1449 Pow 7 90) and 1798. copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of documents which may be relevant to the invention as claimed in the above-identified application.

It is requested that the Examiner fully consider the documents cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited document, and return a copy of the Form 1449 for applicants' records. It is further requested that the documents be cited on the cover of any patent issuing from the subject application.

The citation of the above documents should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent information is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily relevant information to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either a final action under 1.113 or a notice of allowance under 1.311, whichever occurs first.

CERTIFICATE OF MAILING

I hereby certify that the above paper/fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231 on

November 1, 2000 Person mailing paper/fee Rosalind Kennison

Signature Goralind tennisa

S/N: 09/191,577

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Accompanying this Information Disclosure Statement is

\_X a certification as specified in 37 CFR 1.97(e)

OR

\_\_\_\_ the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under 1.97(c). (\$240.00)

\_\_\_\_ Please charge Deposit Account 09-0466 in the amount of \$240.00. A duplicate of this request is attached.

\_\_\_\_ If any additional fees are due, please charge Deposit Account 09-0466.

Respectfully submitted

Robert B. Martin (Reg.No. 26,945)

S/N: 09/191,577

Attorney for Applicant IBM Corporation, IP Law 5600 Cottle Road, L2PA/025 San Jose, California 95193 (408) 256-2117

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G. Frees et al.

Serial No.: 09/191,577

NOV 0 6 2000 S

:Date: November 1, 2000

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For: MOUNTING INTERFACE FOR A SPINDLE MOTOR

## CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(e)

Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

X I hereby certify that each item of information contained in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted

By:

Robert B. Martin (#26,945) Attorney for Applicant IBM Corporation, IP Law 5600 Cottle Road, 951/025 San Jose, California 95193 (408) 256-2117

## **CERTIFICATE OF MAILING**

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Person mailing paper/fee Rosalind Kennison

Signature